S/N NEW FILING **PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

LIU ET AL.

Examiner:

UNKNOWN

Serial No.:

**NEW FILING** 

Group Art Unit:

UNKNOWN

Filed:

**HEREWITH** 

Docket No.:

H0001589-D1 (13358.6USD1)

Title:

OPTOELECTRONIC DEVICES AND METHODS OF PRODUCTION

**CERTIFICATE UNDER 37 CFR 1.10:** 

"Express Mail" mailing label number: EV322886695US Date of Deposit: September 24, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Teresa Anderson

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/037,013 filed on December 31, 2001.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903

Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: September 24, WV3

Anna M. Nelson

Reg. No. 48,935

AMN:PSTkaw

Date Mailed: SEPTEMBER 24, 2003

Sheet 1 of 2

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

Docket Number: H0001589-D1 (13358.6USD1) Application Number: NEW FILING

IN AN APPLICATION

Applicant: LIU ET AL.
Filing Date: HEREWITH

Group Art Unit: UNKNOWN

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT NO. DATE NAME **CLASS SUBCLASS** FILING DATE **INITIAL** IF APPROPRIATE 3,821,092 06/28/1974 Frobenius 3,900,864 08/19/1975 Dapkus et al. 4,295,058 10/13/1981 Lade et al. 4,467,521 08/28/1984 Spooner et al. 4,549,927 10/29/1985 Goth et al. 02/17/1987 4,643,534 Chun et al. 4,657,628 04/14/1987 Holloway et al. 06/23/1987 4,675,073 Douglas 4,676,866 06/30/1987 Tang et al. 4,717,681 01/05/1988 Curran 05/17/1988 4,745,081 Beyer et al. 05/24/1988 4,746,219 Holloway et al. 4,755,697 07/05/1988 Kinzer 02/14/1989 4,804,636 Groover, III et al. 05/09/1989 4,829,347 Cheng et al. 4,849,344 07/18/1989 Desbiens et al. 4,890,141 12/26/1989 Tang et al. 4,924,284 05/08/1990 Beyer et al. 4,975,756 12/04/1990 Haken et al. 5,003,365 03/26/1991 Havemann et al. 5,010,032 04/23/1991 Tang et al. 5,072,276 12/10/1991 Malhi et al. 5,102,809 04/07/1992 Eklund et al. 04/27/1993 5,206,182 Freeman 5,293,053 03/08/1994 Malhi et al. 5,294,823 03/15/1994 Eklund et al. 5,331,658 07/19/1994 Shieh et al. 5,365,082 11/15/1994 Gill et al.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed:	SEPTEMBER	24	_, 2003
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Sheet 2 of 2

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: Application Number: H0001589-D1 (13358.6USD1) NEW FILING		
IN AN APPLICATION	Applicant: LIU ET AL.		
(Use several sheets if necessary)	Filing Date: HEREWITH	Group Art Unit: UNKNOWN	

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